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Intellectual Property Law & Related Matters

FACSIMILE TRANSMISSION

TO: USPTO
Examiner: Wesley Leo Kim - Group Art Unit: 2617

FAX NO. (571) 273-8300

FROM: J. Joel Justiss

RE: Serial No.: 10/071,673
Attorney Docket No.: M. CRONIN 1-1-1-1-1
• Statement Under 37 C.F.R. 3.73(b)
• Copy of Recorded Assignment
• Certificate of Merger between Agere Systems Guardian Corp. and Agere Systems Inc.

DATE: April 3, 2006

PAGES: 16 (including cover page)

If you do not receive the indicated number of pages, please notify the sender at the telephone number shown below. Thank you.

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Mailing Address: P.O. Box 832570, Richardson, Texas 75083-2570
Street Address: Palisades Central II, 2435 North Central Expressway, Suite 1300, Richardson, Texas 75080-2753 U.S.A.
Tel: (972) 480-8800 Fax: (972) 480-8865 firm@hittgaines.com

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Agere Systems Inc.Application No./Patent No./Control No.: 10/071,673 Filed/Issue Date: 02/07/2002Entitled: DOWNLOAD OF USER INTERFACE ELEMENTS INTO A MOBILE PHONEAgere Systems Inc., a Corporation

(Name of Assignee)

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a true copy of the original assignment is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Michael Cronin, et al. To: Agere Systems Guardian Corporation
The document was recorded in the United States Patent and Trademark Office at
Reel 012597, Frame 0847, or for which a copy thereof is attached.

2. From: Agere Systems Guardian Corporation To: Agere Systems Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

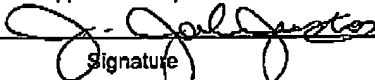
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature
J. Joel Justus

Printed or Typed Name

Attorney for Applicant
Title

April 3, 2006

Date

972-480-8800

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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NO. 4464 P. 3

J3



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HITT GAINES & BOISBRUN, P.C.

APRIL 16, 2002

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HITT GAINES & BOISBRUN, P.C.
J. JOEL JUSTISS
P.O. BOX 832570
RICHARDSON, TEXAS 75083

Chief Information Officer
Washington, DC 20231
www.uspto.gov



101989519A

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RECORDATION DATE: 02/07/2002

REEL/FRAME: 012587/0847
NUMBER OF PAGES: 10

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CRONIN, MICHAEL

DOC DATE: 01/08/2002

ASSIGNOR:

DREJER, NIELS

DOC DATE: 01/24/2002

ASSIGNOR:

LAWRIE, MICHAEL JOHN

DOC DATE: 01/28/2002

ASSIGNOR:

PUGLIESE, PIERLUIGI

DOC DATE: 01/08/2002

ASSIGNOR:

RAUCH, JUERGEN

DOC DATE: 01/08/2002

ASSIGNEE:

AGERE SYSTEMS GUARDIAN CORPORATION
9333 S. JOHN YOUNG PARKWAY; ROOM
301E1211
A CORPORATION OF DELAWARE
ORLANDO, FLORIDA 32819

APR. 3. 2006 12:40PM

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NO. 4464 P. 4

012587/0847 PAGE 2

SERIAL NUMBER: 10071673
PATENT NUMBER:

FILING DATE: 02/07/2002
ISSUE DATE:

THERESA FREDERICK, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

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APR 03 2006

NO. 4464 P. 5

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02-22-2002

U.S. Department of Commerce
Patent and Trademark Office
PATENT



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RECORDATION FORM COVER SHEET
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M. CRONIN 1-1-1-1-1

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Submission Type

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Document ID# _____
- ☐ Correction of PTO Error
Reel # _____ Frame # _____
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Reel # _____ Frame # _____

Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other _____
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(For Use ONLY by U.S. Government Agencies)
- ☐ Departmental File ☐ Secret File

Conveying Party(ies)

- ☒ Mark if additional names of conveying parties attached
- Name (line 1) Michael Cronin Execution Date Month Day Year 01 08 2002
- Name (line 2) _____

Second Party

- Name (line 1) Niels Drejer Execution Date Month Day Year 01 24 2002
- Name (line 2) _____

Receiving Party

☐ Mark if additional names of receiving parties attached

- Name (line 1) Agere Systems Guardian Corporation
- Name (line 2) a Corporation of Delaware
- Address (line 1) 9333 S. John Young Parkway; Room 301E1211
- Address (line 2) _____
- Address (line 3) Orlando Florida 32819
City State/Country Zip Code
- ☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

- Name _____
- Address (line 1) _____
- Address (line 2) _____
- Address (line 3) _____
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Expires 08/30/99
OMB 0851-0027

Page 2

U.S. Department of Commerce
Patent and Trademark Office
PATENT**Correspondent Name and Address**

Area Code and Telephone Number (972) 480-8800

Name J. Joel Justiss

Address (line 1) Hitt Gaines & Boisbrun, P.C.

Address (line 2) P.O. Box 832570

Address (line 3) Richardson, Texas 75083

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

9

Application Number(s) or Patent Number(s)☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

N/A 10,071,673

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year
01 08 2002**Patent Cooperation Treaty (PCT)**Enter PCT application number
only if a U.S. Application Number
has not been assigned.PCT PCT PCT
PCT PCT PCT**Number of Properties**

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

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Deposit AccountEnclosed ☒ Deposit Account ☐

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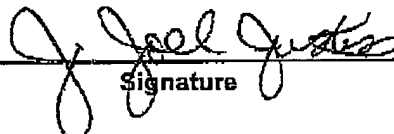
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Yes ☐ No ☐**Statement and Signature**

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J. Joel Justiss

Name of Person Signing



Signature

02/07/2002

Date

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APR 03 2006

NO. 4464 P. 7

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CONTINUATION
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Page 3

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

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Enter additional Conveying Parties

Name (line 1) Michael John Lawrie

Execution Date
Month Day Year

01 28 2002

Name (line 2)

Name (line 1) Pierluigi Pugliese

Execution Date
Month Day Year

01 08 2002

Name (line 2)

Name (line 1) Juergen Rauch

Execution Date
Month Day Year

01 08 2002

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

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Patent Application Number(s)

Patent Number(s)

DOCKET NO. M. CRONIN 1-1-1-1

PATENT

ASSIGNMENT

WHEREAS, We, Michael Cronin, an Irish citizen and resident of Muenchen, Germany, and Niels Drejer, a Danish citizen and resident of Baden Württemberg, Germany, and Michael John Lawrie, a citizen of Great Britain and resident of Muenchen, Germany, and Pierluigi Pugliese, a citizen of Italy and resident of Vaterstetten, Germany, and Juergen Rauch, a German citizen and resident of Buchloe, Germany, have invented certain new and useful improvements in a "DOWNLOAD OF USER INTERFACE ELEMENTS INTO A MOBILE PHONE" disclosed in an application for United States Letters Patent (Application No. _____, filed _____), said application having been executed concurrently herewith;

WHEREAS, Agere Systems Guardian Corporation, a corporation of the State of Delaware, having offices at 9333 S. John Young Parkway, Room 301E1211, Orlando, Florida 32819, U.S.A. (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring our entire right, title and interest in and to the invention, and in and to the said application and any Letters Patent that may issue thereon;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right, title and interest in and to said invention and in and to said application and all patents which may be granted therefor, and all divisions, reissues, substitutions, continuations, continuations-in-part and extensions thereof; we authorize J. Joel Justiss of Hitt Gaines & Boisbrun, P.C. to insert in the parenthesis above the application number and filing date of said application when known; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as our interest is concerned, to the said ASSIGNEE of our entire right, title and interest.

We also hereby sell and assign to said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive rights, title and interest to the invention disclosed in said application throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world including all rights of priority, all rights to publish cautionary notices reserving ownership of said invention and all rights to register said invention in appropriate registries; and we further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such rights, title and interest in ASSIGNEE, its successors, assigns and legal representatives.

We hereby further agree that we will communicate to said ASSIGNEE, or to its successors, assigns and legal representatives, any facts known to us respecting any improvements; and, at the expense of said ASSIGNEE, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, and make all lawful oaths, and generally do everything possible to vest title in said ASSIGNEE and to aid said ASSIGNEE, its successors, assigns and legal representatives to obtain and enforce proper protection for said invention in all countries.

Michael Cronin
Michael Cronin

WITNESSED:

On this 8 day of JAN, 20 02, before me personally came Michael Cronin, to me known to be the individual described herein and who executed the foregoing instrument, and acknowledged execution of the same.

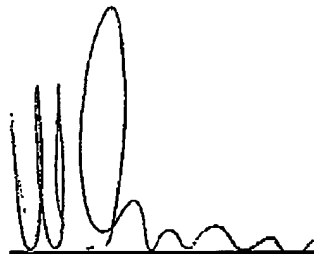
M. Malek
Name: MONI MALEK

Niels Drejer
Niels Drejer

WITNESSED:

On this 24 day of January, 2007, before me personally came Niels Drejer, to me known to be the individual described herein and who executed the foregoing instrument, and acknowledged execution of the same.


M. M. L.
Name: M. MALEX



Michael John Lawrie

WITNESSED:

On this 28 day of JAN, 2002, before me personally came Michael John Lawrie, to me known to be the individual described herein and who executed the foregoing instrument, and acknowledged execution of the same.

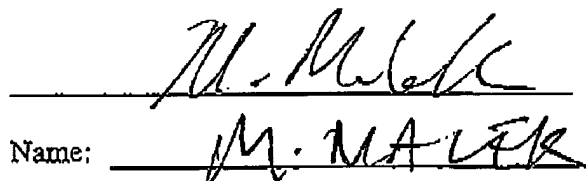


Name: MONI MALEK


Pierluigi Pugliese

WITNESSED:

On this 8 day of JAN, 2007, before me personally came Pierluigi Pugliese, to me known to be the individual described herein and who executed the foregoing instrument, and acknowledged execution of the same.


Name: M. MALEK

J. Rauch
Juergen Rauch

WITNESSED:

On this 8 day of January, 2007, before me personally came Juergen Rauch, to me known to be the individual described herein and who executed the foregoing instrument, and acknowledged execution of the same.

Luigi Belverato
Name: Luigi Belverato

Delaware

PAGE 1

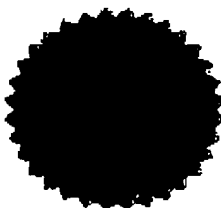
The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF OWNERSHIP, WHICH MERGES:

"AGERE SYSTEMS GUARDIAN CORP.", A DELAWARE CORPORATION, WITH AND INTO "AGERE SYSTEMS INC." UNDER THE NAME OF "AGERE SYSTEMS INC.", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE TWENTY-NINTH DAY OF AUGUST, A.D. 2002, AT 9 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF OWNERSHIP IS THE THIRTY-FIRST DAY OF AUGUST, A.D. 2002.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



Harriet Smith Windsor
Harriet Smith Windsor, Secretary of State

3268412 8100M

AUTHENTICATION: 1959517

020545223

DATE: 08-29-02

STATE OF DELAWARE
SECRETARY OF STATE
DIVISION OF CORPORATIONS
FILED 09:00 AM 08/29/2002
020545223 - 3268412

CERTIFICATE OF OWNERSHIP AND MERGER**OF**

Agere Systems Guardian Corp.
(a Delaware corporation)

INTO

Agere Systems Inc.
(a Delaware corporation)

**UNDER SECTION 253 OF THE GENERAL
CORPORATION LAW OF THE STATE OF DELAWARE**

Agere Systems Inc., a corporation organized and existing under the laws of Delaware ("Corporation"), **DOES HEREBY CERTIFY:**

FIRST: The Corporation is the owner of all of the outstanding shares of common stock of Agere Systems Guardian Corp., which is also a business corporation of the State of Delaware.

SECOND: On August 22, 2002 the Subsidiary Governance Committee of the Board of Directors of the Corporation adopted the following resolution to merge Agere Systems Guardian Corp. into the Corporation:

RESOLVED that Agere Systems Guardian Corp., a Delaware corporation, shall be merged with and into Agere Systems Inc., a Delaware corporation, with Agere Systems Inc. being the surviving corporation, and Agere Systems Inc. shall thereupon assume all of the obligations of Agere Systems Guardian Corp."

THIRD: That the merger authorized hereby shall become effective as of 9:00 a.m. Eastern Standard Time on August 31, 2002.

Executed on August 22, 2002

AGERE SYSTEMS INC.

By Paul Bento
Paul Bento, Vice President

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